# Ethics

#### Claire Le Goues

### **Michael Hilton**

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# Administrivia

nstitute Ic

- Homework 1 grades released
  - Overall quality was high
  - Commit messages could have been improved
  - Link to specific commit, not repo in general
  - You should stage your commit properly (hint: careful when using "git add .")
  - We are looking for reflections to be specific (assumptions are ok), vs vague

# Administrivia

- Homework 2
  - Cl questions We were not able to cover Cl as early as we wanted. We will not require you to implement a Cl pipeline for HW2.
  - You will need to answer:
    - What (if anything) did you do for QA during HW2? How do you feel about your QA? Was it good enough? What could have been done better?
  - In future assignments you will implement a CI pipeline.

# Learning goals

- Awareness of ethical issues in software engineering
- Reflection on decision making
- Questions to ask when evaluating the ethics of software
- Starting points to dig deeper



# Activity

- Go to breakoutrooms
- Share Unethical situations with each other
- Post to slack your andewID's as well as a summary of each unethical situation



## Volkswagen Scandal

# VW was caught cheating on emissions for Diesel

engines

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© Lucy Nicholson © Reuters

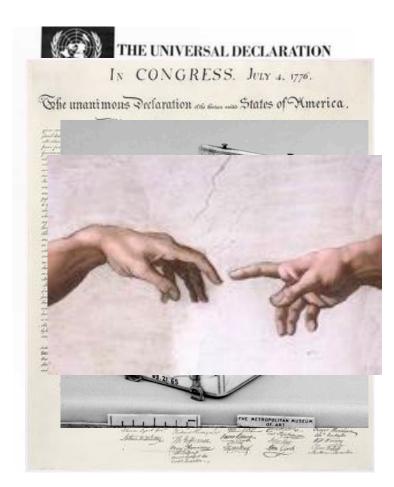
# What is Human Flourishing?

According to Harvard's Human flourishing program: Human flourishing is composed of five central domains: happiness and life satisfaction, mental and physical health, meaning and purpose, character and virtue, and close social relationships.

# Why Human Flourishing?

- Universal Declaration of Human Rights: "All human beings are born free and equal in dignity and rights."
- Declaration of Independence: "We hold these truths to be self-evident..."
- Internal Compass
- Faith

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# (Un)Ethical situations

### EA calls its loot boxes 'surprise mechanics,' says they're used ethically

'People like surprises,' executive tells UK Parliament By Ana Diaz | @AnaLikesPikachu | Jun 21, 2019, 9:10am EDT







# **Open Source Maintainers**

| 18    | dominictarr commented 7 days ago   | Owner ···· |
|-------|--|------------|
| 1 P 1 | dominictarr commented 7 days ago   | Owner ···· |
|       | limonte commented 7 days ago • edited -  |            |
| A.C.  | dominictarr commented 6 days ago   | Owner ···· |
| D.    | XhmikosR commented 6 days ago  |            |
| 2     | jaydenseric commented 6 days ago   |            |
|       | There is a huge difference between not maintaining a repo/package, vs giving it away to<br>(which actually takes more effort than doing nothing), then denying all responsibility to<br>affects millions of innocent people. |            |
|       | 👍 884 👎 162 😑 7 😕 16 💗 18  |            |
|       |  |            |



### Domino's Would Rather Go to the Supreme Court Than Make Its Website Accessible to the Blind

Rather than developing technology to support users with disabilities, the pizza chain is taking its fight to the top

by Brenna Houck | @EaterDetroit | Jul 25, 2019, 6:00pm EDT

🔰 🕝 SHARE





Carnegie M School of Computer Science 15-313 Software Engineering

# Airlines

## Some airlines may be using algorithms to split up families during flights

Your random airplane seat assignment might not be random at all. By Aditi Shrikant | aditi@vox.com | Nov 27, 2018, 6:10pm EST





Passengers boarding a Boeing aircraft of the low cost airline carrier Ryanair in Thessaloniki Macedonia Airport, Greece. | Nicolas Economcu/NurPhoto/Getty Images



#### TG.

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Facebook privacy Transportation Enterprise Def Con 2019

#### Lime halts scooter service in Switzerland after possible software glitch throws users off mid-ride

Ingrid Lunden @ingridlunden / 9:51 am EST + January 12, 2019

Comment

×



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# xing.com search for "Brand Strategist"

| Search<br>query  | Work experience | Education experience |       | Candidate | Xing<br>ranking |
|------------------|-----------------|----------------------|-------|-----------|-----------------|
| Brand Strategist | 146             | 57                   | 12992 | male      | 1               |
| Brand Strategist | 327             | 0                    | 4715  | female    | 2               |
| Brand Strategist | 502             | 74                   | 6978  | male      | 3               |
| Brand Strategist | 444             | 56                   | 1504  | female    | 4               |
| Brand Strategist | 139             | 25                   | 63    | male      | 5               |
| Brand Strategist | 110             | 65                   | 3479  | female    | 6               |
| Brand Strategist | 12              | 73                   | 846   | male      | 7               |
| Brand Strategist | 99              | 41                   | 3019  | male      | 8               |
| Brand Strategist | 42              | 51                   | 1359  | female    | 9               |
| Brand Strategist | 220             | 102                  | 17186 | female    | 10              |

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# Twitter cropping photos



Tony "Abolish (Pol)ICE" Arcieri 👾 @bascule

Trying a horrible experiment...

Which will the Twitter algorithm pick: Mitch McConnell or Barack Obama?



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6:05 PM · Sep 19, 2020 · Twitter Web App

64.7K Retweets 16.3K Quote Tweets 198.6K Likes











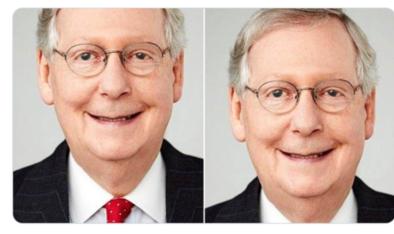
# Twitter cropping photos



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6:05 PM · Sep 19, 2020 · Twitter Web App

| 64.7K Retweets     | 16.3K Quote Tweets | 198.6K Likes |  |
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Replying to @bascule

What if we adjust the contrast



⚠

10:36 PM · Sep 19, 2020 · Twitter Web App

| 35 Retweets | 5 Quote Tweets | 102 Likes |         |  |
|-------------|----------------|-----------|---------|--|
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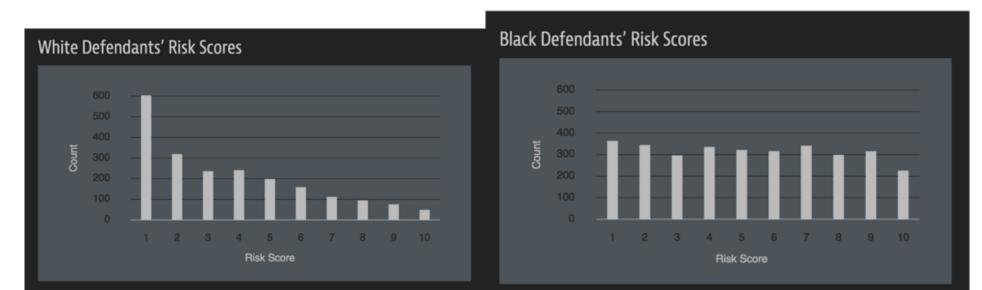






#### Carnegie Mellon University School of Computer Science

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### Prediction Fails Differently for Black Defendants

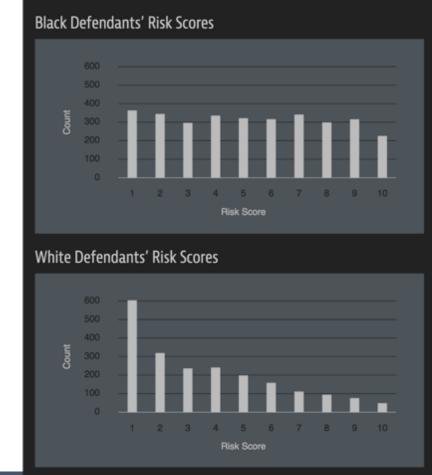
|   | WHITE | AFRICAN AMERICAN |
|---|-------|------------------|
| Labeled Higher Risk, But Didn't Re-Offend | 23.5% | 44.9%            |
| Labeled Lower Risk, Yet Did Re-Offend     | 47.7% | 28.0%            |



# Algorithmic Bias

- Algorithms affect:
- Where we go to school
- Access to money
- Access to health care
- Receiving parole
- Possibility of Bail

**Risk Scores** 



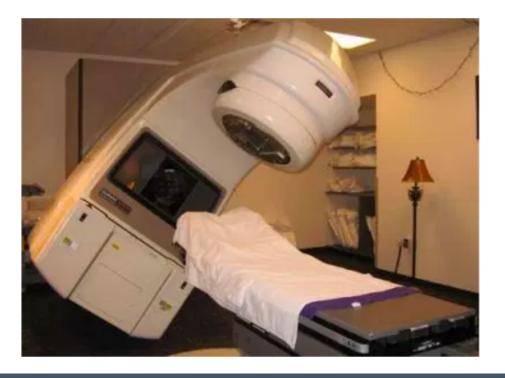
These charts show that scores for white defendants were skewed toward lower-risk categories. Scores for black defendants were not. (Source: ProPublica analysis of data from Broward County, Fla.)



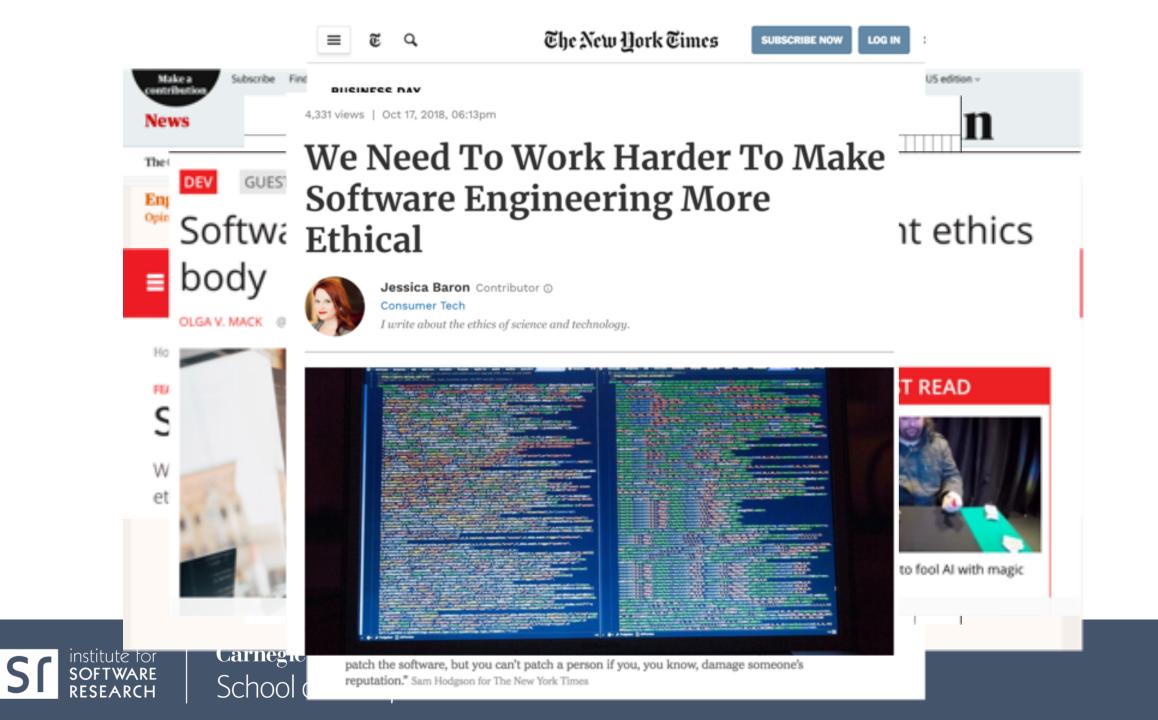
Therac-25

Bug in software lead to at least 6 deaths

Traced to: Lack of reporting bugs Lack of proper due diligence Engineers were overconfident







# Code of Ethics



As an ACM member I will ....
Contribute to society and human well-being.
Avoid harm to others.
Be honest and trustworthy.
Be fair and take action not to discriminate.
Honor property rights including copyrights and patent.
Give proper credit for intellectual property.
Respect the privacy of others.
Honor confidentiality.

## Code of Ethics

Research shows that the code of ethics does not appear to affect the decisions made by software developers.

#### Does ACM's Code of Ethics Change Ethical Decision Making in Software Development?

Andrew McNamara North Carolina State University Raleigh, North Carolina, USA ajmcnama@ncsu.edu Justin Smith North Carolina State University Raleigh, North Carolina, USA jssmit11@ncsu.edu Emerson Murphy-Hill North Carolina State University Raleigh, North Carolina, USA emerson@csc.ncsu.edu

#### ABSTRACT

Ethical decisions in software development can substantially impact end-users, organizations, and our environment, as is evidenced by recent ethics scandals in the news. Organizations, like the ACM, publish codes of ethics to guide software-related ethical decisions. In fact, the ACM has recently demonstrated renewed interest in its code of ethics and made updates for the first time since 1992. To better understand how the ACM code of ethics changes softwareThe first example is the Uber versus Waymo dispute [26], in which a software engineer at Waymo took self-driving car code to his home. Shortly thereafter, the engineer left Waymo to work for a competing company with a self-driving car business, Uber. When Waymo realized that their own code had been taken by their former employee, Waymo sued Uber. Even though the code was not apparently used for Uber's competitive advantage, the two companies settled the lawsuit for \$245 million dollars.



School of Computer Science



How do we apply ethics to a field (Software Engineering) that is changes so often?

Remember the Dominos case? The ADA law was written before the first website (1990)

To handle this uncertainty about the future, let's focus on three questions we can ask to remind ourselves to focus on promoting human flourishing.



## Three questions to promote human flourishing

- 1.Does my software respect the **humanity** of the **users**?
- 2.Does my software amplify positive behavior, or negative behavior for users and society at large?3.Will my software's quality impact the humanity of others?

# 1.Does my software respect the **humanity** of the **users**?



# 1.Does my software respect the **humanity** of the **users**?

Lets consider some tools and processes...

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### Humane Design Guide http://humanetech.com

#### Humane Design Guide (Alpha Version)

| ttify opportunities for Human  | e Technology.  | What are Human Sensitivities?<br>Human Sensitivites are instincts that are<br>often vulnerable to new technologies.  |   |
|--|--|--|---|
| We are inhibited when  | What inhibits  | We are supported when  | Opportunity to improve  |
| We are stressed, low on<br>sleep, afraid or<br>emotionally exhausted.        | Artificial scarcity     Urgency signalling     Constant monitoring     Optimizing for screentime   | Design engenders<br>calm, balance, safety,<br>pauses and supports<br>circadian rhythms.  | O High<br>Low   |
| Attention is physiologically<br>drawn, overwhelmed or<br>fragmented.         | Constant context switching     Many undifferentiated choices     Fearlul information     No stopping cues (e.g. infinite scroll)     Unnecessary movement  | Enabled to bring more<br>focus and mindfulness.  | Î   |
| Information is fear-based,<br>out of context, confusing,<br>or manipulative. | Facts out of context     Over-personalized filters     Equating virality with credibility     Deceptive authority (ads vs. content)  | Enabled to consider,<br>learn, express and feel<br>grounded.   | Î   |
| Intentions and agency are<br>not solicited nor supported.                    | Avatars to convey authority     Stalking ads and messages     Push content models     Serving preference over intent   | Enabled to gain agency,<br>purpose, and mobilization<br>of intent.   | Î   |
| Status, relationships or<br>self-image are manipulated.                      | Quantified social status     Viral sharing     Implied obligation     Enabling impersonation   | Enabled to connect more<br>safely and authentically<br>with others.  | -   |
| Excluded, divided or<br>mobilized through fear.                              | Suppressing views and nuance     Enabling ad hominem or hate speech     Enabling viral outrage     Lack of agreed-upon norms   | Enabled to develop a<br>sense of belonging and<br>cooperation.   | -   |
|  | We are inhibited when We are stressed, low on sleep, afraid or emotionally exhausted. Attention is physiologically drawn, overwhelmed or fragmented. Information is fear-based, out of context, confusing, or manipulative. Intentions and agency are not solicited nor supported. Status, relationships or self-image are manipulated. Excluded, divided or | We are stressed, low on sleep, afraid or emotionally exhausted.       • Artificial scarcity         • Urgency signalling       • Constant monitoring         • Optimizing for screentime       • Constant context switching         Attention is physiologically drawn, overwhelmed or fragmented.       • Constant context switching         Information is fear-based, out of context, confusing, or manipulative.       • Facts out of context         Information is fear-based, out of context, confusing, or manipulative.       • Facts out of context         Information and agency are not solicited nor supported.       • Avatars to convey authority         Status, relationships or self-image are manipulated.       • Quantified social status         • Viral sharing       • Implied obligation         • Excluded, divided or mobilized through fear.       • Suppressing views and nuance | We are inhibited when         What inhibits         We are supported when           We are stressed, low on sleep, afraid or emotionally exhausted.         - Artificial scarcity<br>- Urgency signalling<br>- Constant monitoring<br>- Optimizing for screentime         Design engenders<br>calm, balance, safety, pauses and supports<br>circadian rhythms.           Attention is physiologically<br>drawn, overwhelmed or<br>fragmented.         - Constant context switching<br>- Partial information<br>- No stopping cues (e.g. infinite scroit)<br>- Unnecessary movement         Enabled to bring more<br>focus and mindfulness.           Information is fear-based,<br>out of context, confusing,<br>or manipulative.         - Facts out of context<br>- Starking ads and messages<br>- Push content models<br>- Starking ads and messages<br>- Push content models<br>- Serving preference over intent         Enabled to consider,<br>learn, express and feel<br>grounded.           Intentions and agency are<br>not solicited nor supported.         - Avatars to convey authority<br>- Starking ads and messages<br>- Push content models<br>- Serving preference over intent         Enabled to connect more<br>safely and authentically<br>with others.           Status, relationships or<br>self-image are manipulated.         - Quantified social status<br>- Viral sharing<br>- Implied obligation<br>- Enabling impersonation         Enabled to develop a<br>sense of belonging and<br>coccertion. |

Center for Humane Technology www.humanetech.com

Now rank the sensitivities 1-6 based on what you now see as the largest opportunities for Humane Design. Then use the second sheet to develop an action statement.



# Humane Design Guide

Provides a template for considering a piece of software, and asking questions to help us arrive at a "humane design"

Consider 6 human sensitivities: Emotional, Attention, Sense making, Decision making, Social Reasoning, and Group Dynamics

| Human Sensitivity                                     | We are inhibited when  | What inhibits   | We are supported when                        | Opportunity to improve |
|---|--|---|--|------------------------|
| Attention<br>How and where we focus<br>our attention. | Attention is physiologically drawn, overwhelmed or fragmented. | <ul> <li>Constant context switching</li> <li>Many undifferentiated choices</li> <li>Fearful information</li> <li>No stopping cues (e.g. infinite scroll)</li> <li>Unnecessary movement</li> </ul> | Enabled to bring more focus and mindfulness. |                        |

### Identify Opportunities to improve

# Humane Design Guide

After analysis step, develop plan of action:

1. In what ways does your product/feature currently engage Human Sensitivities?

2. How might your product/feature support or elevate human sensitivities?

3. Action Statement



### GenderMag https://gendermag.org

#### Abby Jones<sup>1</sup>



#### You can edit anything in blue print

28 years old
 Employed as an Accountant
 Lives in Cardiff, Wales

Abby has always liked music. When she is on her way to work in the morning, she latens to music that spans a wide variety of styles. But when she arrives at work, she turns it off, and begins her day by scanning all her emails first to get an overall picture before answering any of them. (This extra pass takes time but seems worth it.) Some nights she exorecises or shetches, and sometimes she likes to play computer puzzle games like Sudoku

#### Background and skills

Abby works as an accountant. She is comfortable with the technologies she uses regularly, but she just moved to this employer 1 week ago, and their software systems are new to her.

Abby says she's a "numbers person", but she has never taken any computer programming or IT systems classes. She likes Math and knows how to think with numbers. She writes and edits spreadsheet formulas in her work.

In her free time, she also enjoys working with numbers and logic. She especially likes working out puzzles and puzzle games, either on paper or on the computer

#### Motivations and Attitudes

 Motivations: Abby uses technologies to accomplish her tasks. She learns new technologies if and when she needs to, but prefers to use methods she is <u>already familiar</u> and comfortable with, to keep her focus on the tasks she cares about.

 Computer Self-Efficacy: Abby has low confidence about doing unfamiliar computing tasks. If problems arise with her technology, she often blames herself for these problems. This affects whether and how she will persevere with a task if technology problems have arisen.

 Attitude toward Risk: Abby's life is a little complicated and she rarely has spare time. So she is risk averse about using unfamiliar technologies that might need her to spend extra time on them, even if the new features might be relevant. She instead performs tasks using familiar features, because they're more predictable about what she will get from them and how much time they will take.

#### How Abby Works with Information and Learns:

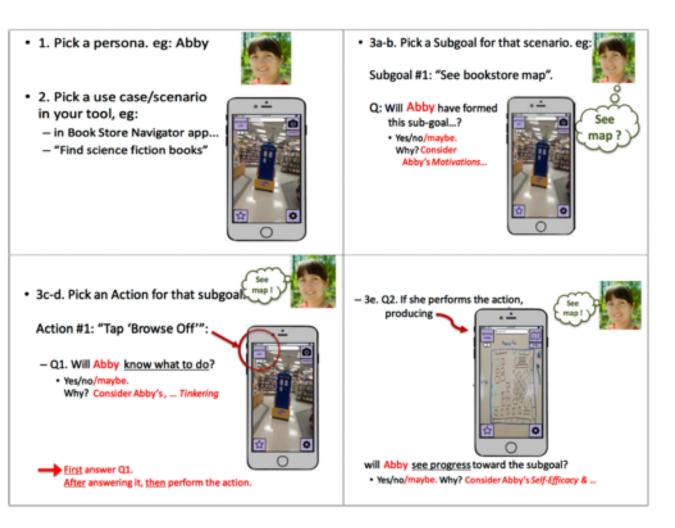
- Information Processing Style: Abby tends towards a comprehensive information processing style when she needs to more information. So, instead of acting upon the first option that seems promising, she gathers information comprehensively to try to form a complete understanding of the problem before trying to solve it. Thus, her style is "burst-y"; first she reads a lot, then she acts on it in a batch of activity.
- Learning: by Process vs. by Tinkering: When learning new technology, Abby leans toward process-oriented learning, e.g., tutorials, step-by-step processes, wizards, online how-to videos, etc, She doesn't particularly like learning by tinkering with software (i.e., just trying out new features or commands to see what they do), but when she does tinker, it has positive effects on her understanding of the software.

<sup>1</sup>Abby represents users with motivations/attitudes and information/learning styles similar to hers. For data on females and males similar to and different from Abby, see <a href="http://eusesconsortium.org/gender/gender.php">http://eusesconsortium.org/gender/gender.php</a>

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### GenderMag https://gendermag.org

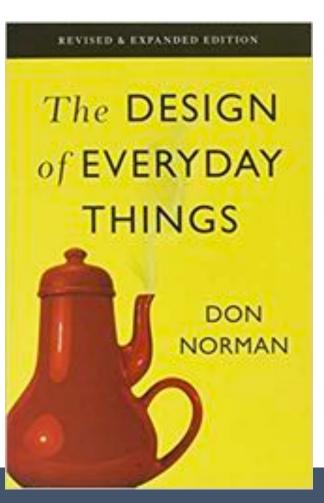
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# User Centered Design

User-centered design tries to optimize the product around how **users can, want, or need to use the product**, rather than forcing the users to change their behavior to **accommodate the product**.

-Wikipedia





# Agile User Cer

#### Manifesto for Agile Software Development

We are uncovering better ways of developing software by doing it and helping others do it. Through this work we have come to value:

Individuals and interactions over processes and tools Working software over comprehensive documentation Customer collaboration over contract negotiation

#### Customer collaboration over contract negotiation Agile cus

Kent Beck Mike Beedle Arie van Bennekum Alistair Cockburn Ward Cunningham Martin Fowler

James Grenning Jim Highsmith Andrew Hunt Ron Jeffries Jon Kern Brian Marick

Robert C. Martin Steve Mellor Ken Schwaber Jeff Sutherland Dave Thomas

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# 2.Does my software **amplify positive** or **negative** behavior for users and society at large?



#### What if... https://pair-code.github.io/what-if-tool/

# What If...



you could inspect a machine learning model, with minimal coding required?



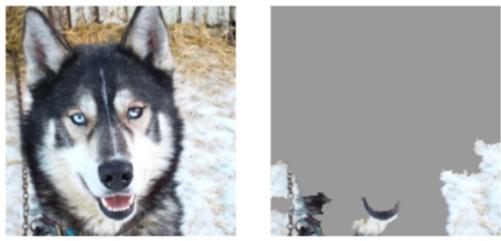


#### What if... https://pair-code.github.io/what-if-tool/

| Partial dependence plots Compute distance Sho   | w nearest different classification:  |               | 0        |                |         |      |                            |         |                     |
|---|--|---------------|----------|----------------|---------|------|----------------------------|---------|---------------------|
| PERFORMANCE + FAIRNESS  | DATAPOINT EDITOR   |               | FEATURES | Binning<br>age | y XAnis |      | ning ( Y Axi<br>arital-sta |         | Color by<br>Inferen |
| Select a datapoin<br>features and valu  | t to begin exploring<br>es.  | $\rightarrow$ |          |                | 7-2     | 24-7 | \$. A                      | 5       | 2 12-1              |
| Clicking on a datapoint in the visualization will load all the features<br>and values associated with that example. Here are some of the<br>things you can do:  |  |               |          |                | ٠       | ***  | ****                       |         | *                   |
| <ul> <li>Edit features and values and rerun inference to see how your model performs.</li> <li>Compute Distance: Select an example to be an anchor and create a new L1 or L2 distance feature for all loaded examples.</li> <li>Closest Counterfactuals: For classification models, find the closest example with a different classification using L1 or L2 distance.</li> <li>Partial Dependence Plots: For a selected example, explore plots for</li> </ul> |  |               |          | ***            |         | •    | •                          | •       |                     |
| valid values for that feature.<br>Use the Performa  | change in inference results across<br>ince + Fairness tab to<br>I performance across |               |          |                |         |      |                            |         | * 88                |
| Use the Features<br>your dataset.   | tab to view statistics   | about         |          | +              | 8       | 88   | •                          | 88<br>% | 80<br>80            |

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## Dog vs Wolf



(a) Husky classified as wolf

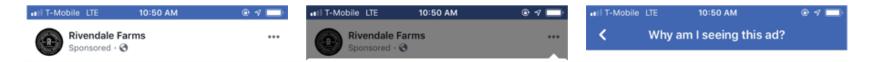
(b) Explanation

Figure 11: Raw data and explanation of a bad model's prediction in the "Husky vs Wolf" task.

|  | Before   | After                       |
|--|--|-----------------------------|
| Trusted the bad model<br>Snow as a potential feature | $10 \text{ out of } 27 \\ 12 \text{ out of } 27$ | 3 out of 27<br>25 out of 27 |

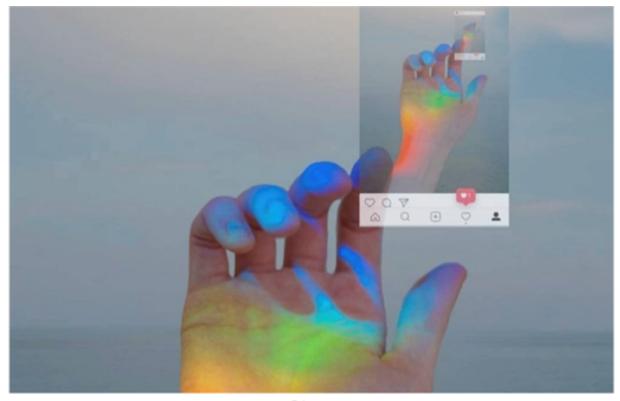


### Explain "why" to customers



There may be other reasons you're seeing this ad, including that Rivendale Farms wants to reach **people ages 22 to 64 who live or were recently near Pittsburgh, Pennsylvania**. This is information based on your Facebook profile and where you've connected to the internet.





@dovneon

#### What Instagram removing likes may mean for influencers and our self-esteem

SCIENCE & TECH - FEATURE

The decision could have a positive impact on the way people use the platform, but harm those trying to use it professionally



## Anil Dash on how to prevent abuse

http://anildash.com/2011/07/20/if\_your\_websites\_full\_of\_assholes\_its\_your\_fault-2/

You should have real humans dedicated to monitoring and responding to your community.

You should have community policies about what is and isn't acceptable behavior.

Your site should have accountable identities.

You should have the technology to easily identify and stop bad behaviors.

You should make a budget that supports having a good community, or you should find another line of work.



# 3. Will my software's **quality** impact the humanity of others?

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#### Quality has long been considered Quivatributes (eff) Vertice (eff)

| <ul> <li>mobility</li> </ul>                  |
|---|
| <ul> <li>modifiability</li> </ul>             |
| <ul> <li>modularity</li> </ul>                |
| <ul> <li>observability</li> </ul>             |
| <ul> <li>operability</li> </ul>               |
| <ul> <li>orthogonality</li> </ul>             |
| <ul> <li>portability</li> </ul>               |
| <ul> <li>precision</li> </ul>                 |
| <ul> <li>predictability</li> </ul>            |
| <ul> <li>process capabilities</li> </ul>      |
| <ul> <li>producibility</li> </ul>             |
| <ul> <li>provability</li> </ul>               |
| <ul> <li>recoverability</li> </ul>            |
| <ul> <li>relevance</li> </ul>                 |
| <ul> <li>reliability</li> </ul>               |
| <ul> <li>repeatability</li> </ul>             |
| <ul> <li>reproducibility</li> </ul>           |
| <ul> <li>resilience</li> </ul>                |
| <ul> <li>responsiveness</li> </ul>            |
| <ul> <li>reusability [Erl]</li> </ul>         |
| <ul> <li>robustness</li> </ul>                |
| <ul> <li>safety</li> </ul>                    |
| <ul> <li>scalability</li> </ul>               |
| <ul> <li>seamlessness</li> </ul>              |
| <ul> <li>self-sustainability</li> </ul>       |
| <ul> <li>serviceability (a.k.a. su</li> </ul> |
| <ul> <li>securability</li> </ul>              |
| <ul> <li>simplicity</li> </ul>                |
| <ul> <li>stability</li> </ul>                 |
| <ul> <li>standards compliance</li> </ul>      |
| <ul> <li>survivability</li> </ul>             |
| <ul> <li>sustainability</li> </ul>            |
| <ul> <li>tailorability</li> </ul>             |
| <ul> <li>testability</li> </ul>               |
| <ul> <li>timeliness</li> </ul>                |
| <ul> <li>traceability</li> </ul>              |
| <ul> <li>transparency</li> </ul>              |
| <ul> <li>ubiquity</li> </ul>                  |
| <ul> <li>understandability</li> </ul>         |
| <ul> <li>upgradability</li> </ul>             |
| <ul> <li>vulnerability</li> </ul>             |
|   |

supportability)

usability



manageability



## Engineering ethics.

Ethics applies and is formalized in many professional fields: medical, legal, business, and engineering.

The first codes of engineering ethics were formally adopted by American engineering societies in 1912-1914. In 1946 the National Society of Professional Engineers (NSPE) adopted their first formal Canons of Ethics.



#### "hold paramount safety, health and welfare of the public"

- Citigroup Center, Designed by Structural engineer William LeMessurier
- Followed calculations required by building codes
- Civil Engineering student Diane Hartley realized there was a problem
- Tests showed that winds needed to bring it down would happen every 55 years



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#### **Professional Ethics**

Professional ethics encompass the personal, and corporate standards of behavior expected by professionals.

First three "professions"

-Divinity,

-Law

-Medicine





#### Medicine - Intrinsic

# Hippocratic Oath ~450BC "Do no Harm"





#### Law -Extrinsic

## Bar regulates behavior

# Oath to follow rules

Malpractice





## Legal Malpractice

Not every mistake is legal malpractice. For malpractice to exist:

Attorney must handle a case inappropriately

due to negligence or with intent to harm

And cause damages to a client



#### Malpractice vs. Negligence **YOU GAN'T HAVE MALPRACTICE** Negliger ably prudent Malprac fessional negligen a doctor, lawyer or standard `"), IF YOU DON'T HAVE subseque ANY PROFESSIONAL STANDARDS



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#### DISCUSSION: WHAT SHOULD WE DO GOING FORWARD?

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## **Bioengineering Ethics:**

- Respect for Autonomy
- Beneficence
- Nonmaleficence
- Justice



# Will software quality impact human flourishing?

- Most traditional emphasis of "engineering ethics"
- What can we learn from other professions?
- Should software have "Professional Engineers"?
- How do we define "safety critical systems"?
- How much testing is enough? How can we convince others to do that much testing?



# These questions are the **start** of the **conversation**, but as technology evolves, we must be **vigilant** to ensure we are promoting human flourishing

#### Three questions to promote human flourishing

- 1.Does my software respect the humanity of the users?
- 2.Does my software **amplify positive** behavior, or **negative** behavior for users and society at large?
- 3.Will my software's quality impact the humanity of others?