## QA Process part 2, Inspections/Code Review

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10000111001 10, 2020



## Administrivia

• No lecture next week.



## Learning Goals

- Overview of concepts how to enforce QA techniques in a process
- Select when and how to integrate tools and policies into the process: daily builds, continuous integration, test automation, static analysis, issue tracking, ...
- Understand human and social challenges of adopting QA techniques
- Understand how process and tool improvement can solve the dilemma between features and quality
- Understand different forms of peer reviews with different formality levels.
- Engage in constructive modern code review using a typical commit review system.
- Describe the benefits and properties of good checklists in code review.
- Mitigate social and cultural issues in code review.
- Contrast motivations for and benefits of commit review at modern tech companies.

## 2010: Agile

- Web-based services and C++ evolution requires faster iteration
- Embrace of agile methods
- Massive reduction of testing team (from two testers per developers toward one): developers now expected to do their own testing



## Annotation

- How to motivate developers, especially with millions of lines of unannotated code?
- Microsoft approach:
  - Require annotations at checkin (e.g., Reject code that has a char\* with no \_\_\_ecount())
  - Make annotations natural, like what you would put in a comment anyway
    - But now machine checkable
    - Avoid formality with poor match to engineering practices
  - o Incrementality
    - Check code  $\leftrightarrow$  design consistency on every compile
    - Rewards programmers for each increment of effort
      - Provide benefit for annotating partial code
      - Can focus on most important parts of the code first
      - Avoid excuse: I'll do it after the deadline
  - o Build tools to infer annotations
    - Inference is approximate and so annotations may need to be changed, but saves work overall.
    - Unfortunately not yet available outside Microsoft

## **Bug prediction**

- Metrics
- Mining software repositories
- Example results:
  - Distributed development not critical, but organizational distance is
- Now prioritizing testing effort

## Case Study: Microsoft



# Prepare servicing strategy for Windows 10 updates

🛅 07/26/2017 • 🕒 6 minutes to read • Contributors 🎯 🌚 🌚 🥘



## **QA WITHIN THE PROCESS**



## **QA Process Considerations**

- We covered several QA techniques:
  - Formal verification (15-112)
  - Unit testing, test driven development
  - Various forms of advanced testing for quality attributes (GUI testing, fuzz testing, ...)
  - o Static analysis
  - Dynamic analysis
  - $\circ$   $\,$  Formal inspections and other forms of code reviews
- But: When to use? Which techniques? How much? How to introduce? Automation? How to establish a quality culture? How to ensure compliance? Social issues? What about external components?

## Qualities and Risks, tradeoffs

- What qualities are required? (requirements engineering)
- What risks are expected?
- Align QA strategy based on qualities and risks
- Understand limitations of QA approaches
  - e.g. testing vs static analysis, formal verification vs inspection, ...
- Mix and match techniques for different qualities

## QA as part of the process

- Have QA deliverables at milestones (management policy)
   Inspection / test report before milestone
- Change development practices (req. developer buy-in)
  - e.g., continuous integration, pair programming, reviewed checkins, zero-bug static analysis before checking
- Static analysis part of code review (Google)
- Track bugs and other quality metrics



## Defect tracking

- Issues: Bug, feature request, query
- Basis for measurement
  - o reported in which phase
  - $\circ$  duration to repair, difficulty
  - categorization
     -> root cause analysis
- Facilitates communication
  - o questions back to reporter

Carnegie Mellon University

School of Computer Science

- ensures reports are not forgotten
- Accountability

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Bug List: (48 of 200) First Last Prev Next Show last search results

## Enforcement

- Microsoft: check in gates
  - Cannot check in code unless analysis suite has been run and produced no errors (test coverage, dependency violation, insufficient/bad design intent, integer overflow, allocation arithmetic, buffer overruns, memory errors, security issues)
- eBay: dev/QA handoff
  - Developers run FindBugs on desktop
  - QA runs FindBugs on receipt of code, posts results, require high-priority fixes.
- Google: static analysis on commits, shown in review
- Requirements for success
  - Low false positives
  - A way to override false positive warnings (typically through inspection).
  - Developers must buy into static analysis first

## **Reminder: Continuous Integration**

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Project Relationship Check File Fingerprint		*	IVM	2 days 19 hr ( <u>#288</u> )	12 days ( <u>#279</u> )	4 min 35 sec	$\bigotimes$
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## **Automating Test Execution**

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	+ FeatureExpr.or1: OK, passed 100 tests. + FeatureExpr.or0: OK, passed 100 tests.
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	+ FeatureExpr.a implies a: OK, passed 100 tests.
	+ FeatureExpr.creating (a and b) twice creates equal object: OK, passed 100 tests.
	+ FeatureExpr.creating (a or b) twice creates equal object: OK, passed 100 tests.
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	+ FeatureExpr.Commutativity wrt. equivalence: (a or b) produces the same object as (b or a): OK, passed 100
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## Continuous Integration with Travis-Cl

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## **SOCIAL ASPECTS**



## Social issues

- Developer attitude toward defects
- Developer education about security
- Using peer pressure to enforce QA practices
  - $\circ$  Breaking the build various rules
- Developer vs tester culture
  - Testers tend to deliver bad news
- Defects in performance evaluations?
- Issues vs defects
- Good test suits raise confidence, encourage shared code ownership

## **Reporting Defects**

- Reproducible defects
- Simple and general
- One defect per report
- Non-antagonistic
  - (testers usually bring bad news)
  - State the problem
  - o Don't blame



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you DO NOT have to buy diamonds. its your choice, and you should r diamonds are paying for this game to be developed. if your so upset · bottom then don't let the door hit you in the a\$\$ on the way out 😁

FORGE OF EMPIRES

NOT REGISTERED YET?

#2

ON THE FORUM YOU CAN BE A PART OF THE COMMUNITY AND PARTICIPATE IN TOPICS REGARDING THE GAME. YOU CAN ALSO FIND NEW GUILD MEMBERS AND DISCUSS THE WORLDS DEVELOPMENT.

SO DONT FORGET TO CREATE AN ACCOUNT!

- To: debian-devel@lists.debian.org
- Subject: Reporting 1.2K crashes
- From: Alexandre Rebert <<u>alexandre.rebert@gmail.com</u>>
- Date: Tue, 25 Jun 2013 01:28:10 -0400
- Message-id: <<u>CAF1AS2itHonB5KTnqNnX5xat4Bh7ytr0dG2txX6BSKzboVSMzA@mail.gmail.com</u>>

Hi,

I am a security researcher at Carnegie Mellon University, and my team has found thousands of crashes in binaries downloaded from debian wheeze packages. After contacting owner@bugs.debian.org, Don Armstrong advised us to contact you before submitting ~1.2K bug reports to the Debian BTS using maintonly@bugs.debian.org (to avoid spamming debian-bugs-dist).

We found the bugs using Mayhem [1], an automatic bug finding system that we've been developing in David Brumley's research lab for a couple of years. We recently ran Mayhem on almost all ELF binaries of Debian Wheezy (~23K binaries) [2], and it reported thousands of crashes.

Our goal here is to make our bug reports as complete and accurate as possible. To minimize duplicates, we are reporting only one crash per binary, and at most 5 crashes per package. This amounts to ~1.2K crashes. Moreover, to ensure accuracy, we confirmed all the crashes by re-running them in a fresh unstable installation. Finally, we also filter out assertion failures for now, as they seemed less important. In short, every report is reproducible and actionable.

You can download the list of affected packages, with their maintainers [3], generated with dd-list, as well as a sample bug report for gcov-4.6 [4]. The bug report contains:

 the bug report that will be mailed to maintonly@bugs.debian.org (report.txt)

2) a testcase reproducing the crash in ./crash/

3) information about the crash in ./crash\_info/: a core dump (core), the output of the crash (crash\_output.txt), the dmesg of the crash (dmesg.txt), as well as the exit status (exit status.txt).

This is a lot of bugs, and we want to make sure we're doing bug reports right, so that we don't make anyone angry by spamming the BTS with bad reports. Please let us know if the reports are good enough to proceed with the filing, or if any additional information should be

## **Code Reviews and Inspection**

## "Many eyes make all bugs shallow" Standard Refrain in Open Source

# "Have peers, rather than customers, find defects"

**Karl Wiegers** 



## Isn't testing sufficient?

- Errors can mask other errors
- Only completed implementations can be tested (esp. scalability, performance)
- Design documents cannot be tested
- Tests don't check code quality
- Many quality attributes (eg., security, compliance, scalability) are difficult to test



## A second pair of eyes

- Different background, different experience
- No preconceived idea of correctness
- Not biased by "what was intended"



## **FORMAL INSPECTIONS**



## **Formal Inspections**

- Idea popularized in 70s at IBM
- Broadly adopted in 80s, much research
  - Sometimes replacing component testing
- Group of developers meets to formally review code or other artifacts
- Most effective approach to find bugs
  - Typically 60-90% of bugs found with inspections
- Expensive and labor-intensive

## **Inspection Team and Roles**

- Typically 4-5 people (min 3)
- Author
- Inspector(s)
  - Find faults and broader issues
- Reader
  - Presents the code or document at inspection meeting
- Scribe
  - Records results
- Moderator
  - Manages process, facilitates, reports

## Checklists

- Reminder what to look for
- Include issues detected in the past
- Preferably focus on few important items
- Examples:

institute for

- Are all variables initialized before use?
- Are all variables used?
- Is the condition of each if/while statement correct?
- Does each loop terminate?
- Do function parameters have the right types and appear in the right order?
- Are linked lists efficiently traversed?
- Is dynamically allocated memory released?
- Can unexpected inputs cause corruption?
- Have all possible error conditions been handled?
- Are strings correctly sanitized?

## **Process details**

- Authors do not explain or defend the code not objective
  - Author != moderator, != scribe, !=reader
  - Author should still join the meeting to observe questions and misunderstandings and clarify issues if necessary
- Reader (optional) walks through the code line by line, explaining it
  - Reading the code aloud requires deeper understanding
  - Verbalizes interpretations, thus observing differences in interpretation



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	ckaestne added some commits on Jan 29 remove obsolete test cases	02dddb6	Assignee No one assigned	
	<ul> <li>refactoring: move AST helper classes to CRewrite package where it is</li> <li>improve readability of test code</li> </ul>	f8fc311 7e61a34	2 participants	
	removed unused fields     ckaestne commented on Jan 29	✓ f35b398           Owner		
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        Date
        From
                   Greq Kroah-Hartman <>
        Subject
                    [PATCH] staging: android: binder: move to the "real" part of the kernel
       From: Greg Kroah-Hartman <gregkh@linuxfoundation.org>
       The Android binder code has been "stable" for many years now. No matter
       what comes in the future, we are going to have to support this API, so
       might as well move it to the "real" part of the kernel as there's no
       real work that needs to be done to the existing code.
       Signed-off-by: Greg Kroah-Hartman <gregkh@linuxfoundation.org>
       This was discussed in the Android miniconf at the Plumbers conference.
       If anyone has any objections to this, please let me know, otherwise I'm
       queueing this up for 3.19-rc1
        drivers/Kconfig
                                                           2 ++
        drivers/Makefile
                                                           1 +
        drivers/android/Kconfig
                                                          drivers/android/Makefile
                                                           3 ++
        drivers/{staging => }/android/binder.c
                                                           0
        drivers/{staging => }/android/binder.h
                                                           2 +-
        drivers/{staging => }/android/binder trace.h
                                                           0
        drivers/staging/android/Kconfig
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        drivers/staging/android/Makefile
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        include/uapi/linux/Kbuild
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#### Process: Checklists!



B-17F ANI	
For detailed instructions see Pilot's AN 01-20EG-I in	data case
PILOT	CO-PILOT
BEFORE STARTING	BEFORE TAKE OFF
1. Pilot's Pre-flight — Complete.	1. Tail Wheel — Locked.
2. Form IA, Form F, Weight and	2. Gyro — Set.
Balance — Checked.	3. Generators - On.
3. Controls and Seats — Checked —	
Checked.	AFTER TAKE OFF
4. Fuel Transfer Valves and Switch—	1. Wheels — Pilot's Signal.
<u>Off.</u>	2. Power Reduction.
5. Intercoolers — Cold.	3. Cowl Flaps.
6. Gyros — Uncaged.	4. Wheel Check — OK Right.
7. Fuel Shut-off Switches — Open.	OK Left.
8. Gear Switch — <u>Neutral.</u>	BEFORE LANDING
9. Cowl Flaps — Open Right — Open	1. Radio Call Altimeter — <u>Set.</u>
Left — Locked.	2. Crew Positions — OK.
10. Turbos — <u>Off.</u>	3. Auto Pilot - Off.
11. Idle cut-off — <u>Checked.</u>	4. Booster Pumps — On.
12. Throttles — <u>Closed.</u>	5. Mixture Controls — Auto Rich
13. High RPM — <u>Checked.</u>	6. Intercooler — Set.
14. Auto Pilot — <u>Off.</u>	7. Carburetor Filters — Open.
15. De-icers and Anti-icers Wing and	8. Wing De-icers - Off.
Prop. — <u>Off.</u>	9. Landing Gear
16. Cabin heat — <u>Off.</u>	a. Visual — Down right
17. Generators — <u>Off.</u>	Down left
STARTING ENGINES	Tail wheel
1. Fire Guard and Call Clear — <u>Left-</u>	Down,
Right.	Antenna In
<ol> <li>Master Switches — <u>On.</u></li> <li>Battery Switches and Inverters —</li> </ol>	b. Light — OK.
On and Checked.	c. Switch Off — Neutral.
4. Parking Brakes — Hydraulic Check-	10. Hydraulic Pressure — OK. Va
On — Checked.	closed.
5. Booster Pumps — Pressure — <u>On</u>	11. RPM 2100 - Set.
and Checked.	12. Turbos — Set.
6. Carburetor Filters — Open.	13. Flaps 1/ <sub>3</sub> — 1/ <sub>3</sub> Down
7. Fuel Quantity — Gallons per tank.	FINAL APPROACH
8. Start Engines	14. Flaps — Pilot's Signal.
a. Fire Extinguisher Engine Selec-	15. High RPM — Pilot's Signal.
tor — Checked.	loi might the choice origination
b. Prime — As Necessary.	

OFFICIAL A.A.F. PILOT'S CHECK LIST

The Checklist: https://www.newyorker.com/magazine/2007/12/10/the-checklist

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#### **DEVELOP CHECKLIST FOR CODE REVIEW**

#### **EXPECTATIONS AND OUTCOMES OF MODERN CODE REVIEWS**

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### **Reasons for Code Reviews**

- Finding defects
  - both low-level and high-level issues
  - requirements/design/code issues
  - security/performance/... issues
- Code improvement
  - o readability, formatting, commenting, consistency, dead code removal, naming
  - enforce to coding standards
- Identifying alternative solutions
- Knowledge transfer
  - learn about API usage, available libraries, best practices, team conventions, system design, "tricks", ...
  - "developer education", especially for junior developers

## Reasons for Code Reviews (continued)

- Team awareness and transparency
  - $\circ$   $\:$  let others "double check" changes  $\:$
  - announce changes to specific developers or entire team ("FYI")
  - general awareness of ongoing changes and new functionality
- Shared code ownership
  - shared understanding of larger part of the code base
  - openness toward critique and changes
  - o makes developers "less protective" of their code

#### Code Review at Microsoft



# Outcomes (at Microsoft analyzing 200 reviews with 570 comments)

- Most frequently code improvements (29%)
  - 58 better coding practices
  - 55 removing unused/dead code
  - 52 improving readability
- Defect finding (14%)
  - 65 logical issues ("uncomplicated logical errors, eg., corner cases, common configuration values, operator precedence)
  - o 6 high-level issues
  - o **5 security issues**
  - 3 wrong exception handling
- Knowledge transfer
  - 12 pointers to internal/external documentation etc



## **Mismatch of Expectations and Outcomes**

- Low quality of code reviews
  - Reviewers look for easy errors, as formatting issues
  - Miss serious errors
- Understanding is the main challenge
  - Understanding the reason for a change
  - Understanding the code and its context
  - Feedback channels to ask questions often needed
- No quality assurance on the outcome

Bacchelli, Alberto, and Christian Bird. "Expectations, outcomes, and challenges of modern code review." *Proceedings of the 2013 International Conference on Software Engineering*. IEEE Press, 2013.



## **Code Review at Google**

- Introduced to "force developers to write code that other developers could understand"
- 3 Found benefits:
  - $\circ$   $\,$  checking the consistency of style and design
  - o ensuring adequate tests
  - improving security by making sure no single developer can commit arbitrary code without oversight

Caitlin Sadowski, Emma Söderberg, Luke Church, Michal Sipko and Alberto Bacchelli. 2018. Modern Code Review: A Case Study at Google. International Conference on Software Engineering





## Social issues: Egos in Inspections

- Author's self-worth in artifacts
- Identify defects, not alternatives; do not criticize authors
   "you didn't initialize variable a" -> "I don't see where variable a is initialized"
- Avoid defending code; avoid discussions of solutions/alternatives
- Reviewers should not "show off" that they are better/smarter
- Avoid style discussions if there are no guidelines
- Author decides how to resolve fault

# Social issues 2

- Moderator must move discussion along, resolve conflicts
- Meetings should not include management
- Do not use for HR evaluation
  - "finding more than 5 bugs during inspection counts against the author"
  - Leads to avoidance, fragmented submission, not pointing out defects, holding prereviews
- Responsibility for quality with authors, not reviewers
  - "why fix this, reviewers will find it"



# Summary

- Code reviews effective to identify bugs
- Additional benefits (e.g., knowledge transfer, shared code ownership, awareness)
- Reviews require understanding
- Different review types with different formality
- Formal inspection require planning & social skills, are expensive, but very effective



# **Further Reading**

- Sommerville. Software Engineering. 8<sup>th</sup> Edition. Addison-Wesley 2007. Chapter 22.2
  - Overview of formal inspections
- Wiegers. Peer Reviews in Software. Addison-Wesley 2002
  - Entire book on formal inspections; how to run them and how to introduce them
- Bacchelli and Bird. "Expectations, outcomes, and challenges of modern code review." *Proceedings of the 2013 International Conference on Software Engineering*. IEEE Press, 2013.
  - Detailed studies of modern code reviews at Microsoft
- Oram and Wilson (ed.). Making Software. O'Reilly 2010. Chapter 18
  - $\circ$   $\,$  Overview of empirical research on formal inspections